

02-19-02

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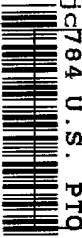
PTO/SB/05 (11-00)

Please type a plus sign (+) inside this box → ⊕

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02/15/02



# UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 380048-94

First Inventor ZONGQIN XIA

Title Steroidal Sapogenins and Their Derivatives  
for Treating Alzheimer's Disease

Express Mail Label No. EL 763792945 US

10764 U.S. PTO  
10/07/93



02/15/02

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing.)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27
3. ☒ Specification Total Pages 33  
- Descriptive title of the invention  
- Background of the invention  
- Brief Summary of the invention  
- Claim(s)  
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets
5. ☒ Oath or Declaration Total Pages 9  
a. ☐ Newly Executed (original or copy)  
b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 C.F.R.  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if  
applicable, all necessary)  
a. ☐ Computer Readable form  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 c  
copies); or  
ii. ☐ Statements verifying  
identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents)
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Small Entity ☒ Statement filed in prior appln.  
Statement(s) Status still proper and desired.
16. ☐ Certified Copy of Priority Document(s)  
(If foreign priority is claimed)
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a  
preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. : 09/647,110  
Prior application information: Examiner: E. Peselev Group Art Unit: 1623

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ New correspondence address below

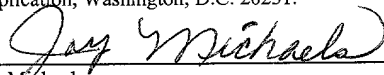
OPPENHEIMER WOLFF & DONNELLY LLP  
2029 Century Park East, Suite 3800  
Los Angeles, California 90067 U.S.A.  
Telephone (310) 788-5000 • Fax (310) 788-5100  
Attn: Spyros J. Lazaris

**FEE CALCULATION**

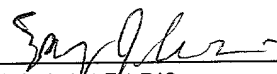
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	32 - 20	12 X	\$ 18.00	\$216.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	12 - 3	9 X	\$ 84.00	\$756.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00	
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
				Total of above Calculations =	\$ 1712.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				\$ 856.00
				TOTAL =	\$ 856.00

20. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-2230:
- a. ☒ Fees required under 37 CFR 1.16.
  - b. ☒ Fees required under 37 CFR 1.17.
  - c. ☐ Fees required under 37 CFR 1.18.
21. ☒ A check in the amount of \$856.00 is enclosed.
22. ☐ Other

Accordingly, issuance of the official Filing Receipt an early date is in order and is respectfully requested.

Express Mailing Label No. EL 763792945 US  
 I hereby certify that on February 15, 2002, I am depositing this correspondence and all listed attachments with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 C.F.R. § 1.10, addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.  
  
 Joy Michaels

Dated: February 15, 2002

  
 SPYROS J. VAZARIS  
 Registration No. 45,981

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